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ATTORNEY'S DOCKET NO. G0651/7023

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Pericles Calias, et al. Examiner: Not Yet Assigned  
Serial No: 09/543,489 Art Unit: 1614  
Filed: April 6, 2000  
For: IMMUNOMODULATORY COMPOSITIONS AND METHODS OF USE THEREOF

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

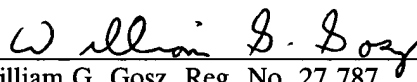
Transmitted herewith are the following document(s):

- ☒ Notice to File Missing Parts (copy)
- ☒ Response to Notice to File Missing Parts (with copy)
- ☒ Petition for Extension of Time (with copy)
- ☒ Fee Calculation Sheet
- ☒ Preliminary Amendment
- ☒ Request for Corrected Filing Receipt, with copy of Filing Receipt with changes indicated in red
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$ 2,418.00 is enclosed to cover the filing fee, surcharge and petition for extension of time. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

  
\_\_\_\_\_  
William G. Gosz, Reg. No. 27,787  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. G0651/7023  
Date: October 13, 2000  
x10/14/00



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Filed: April 6, 2000  
For: IMMUNOMODULATORY COMPOSITIONS AND METHODS OF USE  
THEREOF

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on the 13 day of October, 2000.

Anne Barnes  
Anne Barnes

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BOX MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO THE NOTICE TO FILE MISSING PARTS**

This is in response to the Notice to File Missing Parts of Application mailed from the United States Patent and Trademark Office on June 14, 2000 (copy enclosed).

The attached check in the amount of \$2,418.00 includes the large entity surcharge fee of \$130.00 and the two-month extension of time of \$390.00.

Accordingly, Applicant believes that this document is responsive to the Notice to File Missing Parts.

We have also included a Request for Corrected Filing Receipt along with a copy of the Filing Receipt with the requested changes noted in red.

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 223) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.

By William G. Gosz  
William G. Gosz, Reg. No. 27,787  
WOLF, GREENFIELD & SACKS, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
Attorneys for Applicant(s)  
Tel. No. (617) 720-3500

DOCKET NO.: G0651/7023

DATE: October 13, 2000



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Pericles Calias, et al. Examiner: Not Yet Assigned  
Serial No: 09/543,489 Art Unit: 1614  
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For: IMMUNOMODULATORY COMPOSITIONS AND METHODS OF USE THEREOF

**Fee Calculation Sheet**

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	71-20=	51	\$ 18	= \$ 918.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	1-3=	0 x	\$ 78	= \$ 0.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$270.00	= \$ 270.00
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Fee for Petition for Extension of Time (if any)				\$ 390.00
	Total of above Calculations =				\$ 2,288.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
	Assignment Recordation Fee (if any)				\$
	Other Fees (if any).				\$ 130.00
	TOTAL =				\$ 2,418.00

1. A check in the amount of \$2,418.00 is enclosed.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☒ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

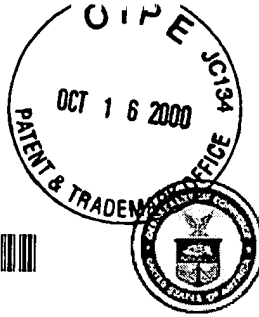
*William G. Gosz*  
William G. Gosz, Reg. No. 27,787  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500  
Attorneys of Record

Docket No. G0651/7023  
Date: October 13, 2000

## FORMALITIES LETTER



\*OC00000005175431\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/543,489	04/06/2000	Pericles Calias	G0651/7023 WGG

William G Gosz  
 Wolf Greenfield & Sacks P C  
 600 Atlantic Avenue  
 Boston, MA 02210

Date Mailed: 06/14/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$996.
  - \$918 for 51 total claims over 20.
  - \$78 for 1 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1816.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/19/2000 KZEHDIE 00000056 09543489  
 01 FC:101 710.00 OP  
 02 FC:105 130.00 OP  
 03 FC:103 918.00 OP  
 04 FC:104 270.00 OP



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Pericles Calias, et al. Examiner: Not Yet Assigned  
Serial No: 09/543,489 Art Unit: 1614  
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The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on October 13, 2000

Anne Barnes  
Anne Barnes

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Commissioner for Patents  
Office of Initial Patent Examination's  
Customer Service Center  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

In view of the Declaration/Power of Attorney filed concurrently, we hereby request that the following items of information be added in the Filing Receipt for the subject application received in this office on June 16, 2000.

The Independent Claims count is listed as 4. Please correct the Independent Claims count to 1.

A portion of the Continuing Data as claimed by Applicant was omitted from the Filing Receipt. Please correct the continuing data to read as follows:

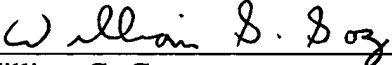
THIS APPLN. CLAIMS BENEFIT OF U.S. 60/128,177 04/06/1999

AND OF U.S. 60/188,442 03/10/00

Enclosed is a copy of the Filing Receipt with changes noted in red. Please make the change as noted above, and issue a Corrected Filing Receipt.

Since the errors were made by the U.S. Patent and Trademark Office and not by Applicants or Applicants' Attorney, it is understood that there are no additional fees for the requested corrected Filing Receipt.

Respectfully submitted,

  
\_\_\_\_\_  
William G. Gosz  
Registration No. 27,787  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210  
Tel. (617) 720-3500

Attorney Docket No.: G0651/7023  
Dated: October 13, 2000

## FILING RECEIPT



\*OC00000005175430\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

WGG

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/543,489

04/06/2000

1614

G0651/7023

4

71

X 1

William G Gosz  
Wolf Greenfield & Sacks P C  
600 Atlantic Avenue  
Boston, MA 02210

File Folder	<input checked="" type="checkbox"/>
ECB	<input checked="" type="checkbox"/>
Docket Entry	<input checked="" type="checkbox"/>
Docket Cross Off	<input checked="" type="checkbox"/>
Order Copies	<input checked="" type="checkbox"/>
Annuities	<input checked="" type="checkbox"/>
Confirmation	<input checked="" type="checkbox"/>

04/06/01 Initials VGG

DOCKETED

JUN 20 2000

Date Mailed: 06/14/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Pericles Calias, Residence Not Provided;

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/128,177 04/06/1999

AND OF 60/188,442 03/10/00

## Foreign Applications

If Required, Foreign Filing License Granted 06/13/2000

## Title

Immunomodulatory compositions and methods of use thereof

## Preliminary Class

514

Data entry by : RIVERS, ANNETTE

Team : OIPE

Date: 06/14/2000

